

201711

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
112440-729

In Re Application Of: Shek Fai Lau et al.

| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|-------------------|--------------|--------------|----------------|------------------|
| 10/023,197 | December 13, 2001 | Thao T. Tran | 29190 | 1711 | 3618 |

Title: **ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER DEVICES WITH ENHANCED CLEANING FEATURES**

COMMISSIONER FOR PATENTS:

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**Change of Correspondence Address (1 page) (duplicate);
Statement Under 37 CFR 3.73(b) (1 page) (duplicate);
Power of Attorney (1 page) (duplicate); and
Return Receipt Postcard.**

in the above identified application.

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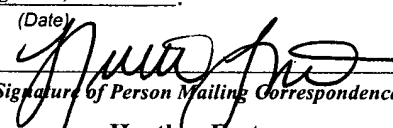
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Signature

Dated: August 16, 2005

**Robert M. Gould
Reg. No. 43,642
Cust. No. 29190**

| | |
|---|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on August 16, 2005 (Date) | |
|  Signature of Person Mailing Correspondence | |
| Heather Foster Typed or Printed Name of Person Mailing Correspondence | |

CC:



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Sharper Image Corporation

Application No./Patent No.: 10/023,197 Filed/Issue Date: December 13, 2001

Entitled: **ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER DEVICES WITH ENHANCED CLEANING FEATURES**

Sharper Image Corporation, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013413, Frame 0818, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
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Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document (s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

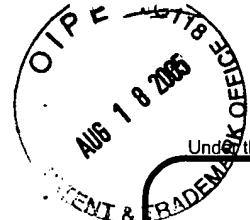
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert M. Gould
Signature

Robert M. Gould
Printed or Typed Name

Attorney of Record
Title

August 16, 2005
Date
312-807-4244
Telephone number

**CHANGE OF
CORRESPONDENCE ADDRESS****Application**

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Commissioner for Patents

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Alexandria, VA 22313-1450

| | |
|------------------------|---------------------|
| Application Number | 10/023,197 |
| Filing Date | December 13, 2001 |
| First Named Inventor | Shek Fai Lau et al. |
| Art Unit | 1711 |
| Examiner Name | Thao T. Tran |
| Attorney Docket Number | 112440-729 |

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I am the :



Applicant/Inventor.



Assignee of record of the entire interest.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).



Attorney or Agent of record. Registration Number

43,642



Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number

Typed or Printed
Name

Robert M. Gould

Signature

Date

August 16, 2005

Telephone

312-807-4244

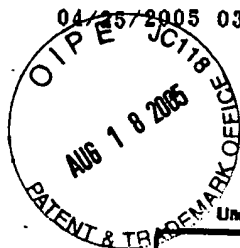
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.



*Total of 3 forms are submitted.

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I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:

☒ Practitioners associated with the Customer Number:

29190

OR

☐ Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

| Name | Registration Number | Name | Registration Number |
|------|---------------------|------|---------------------|
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as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:

☒ The address associated with Customer Number:

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OR

| | | | |
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| <input type="checkbox"/> Firm or Individual Name | | | |
| Address | | | |
| City | State | Zip | |
| Country | | | |
| Telephone | Fax | | |

Assignee Name and Address:

Shaper Image Corporation
650 Davis Street
San Francisco, California 94111

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

| | | | |
|-----------|-------------------|-----------|---------|
| Signature | | Date | 4/25/05 |
| Name | Tracy Wag | Telephone | |
| Title | President and COO | | |

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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